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PATENT  
010575

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Lego et al.

Serial No.: 10/033,592

Filed: December 28, 2001

METHODS AND SYSTEMS FOR  
PROCESSING AND COMMUNICATING  
FINANCIAL TRANSACTION DATA

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INFORMATION DISCLOSURE STATEMENT

January 17, 2002

Commissioner for Patents

Washington, DC 20231

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Applicants, in accordance with the duty of disclosure pursuant to 37 C.F.R.  
§ 1.56, hereby advise the United States Patent and Trademark Office of the references  
listed on the accompanying form PTO-1449 *Information Disclosure Statement* by  
*Applicant*. A copy of each of the references cited therein is herewith enclosed.

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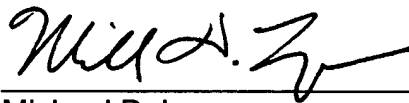
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Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement by Applicant* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael D. Lazzara", written over a horizontal line.

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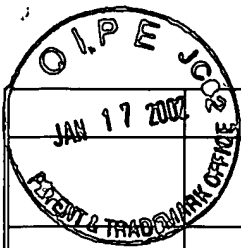
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<p>Form PTO-1449</p> <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use several sheets if necessary)</p>	<p>Atty. Docket No. 010575</p>	<p>Serial No. 10/033,592</p> <p><b>RECEIVED</b> <b>FEB 21 2002</b> Technology Center 2100</p>
	<p>Applicant Lego et al.</p>	
	<p>Filing Date December 28, 2001</p>	<p>Group</p>

## U. S. PATENT DOCUMENTS

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### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

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### OTHER DOCUMENTS

(Including Author, Title, Date, Relevant Pages, Place of Publication)

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